



# PROCESS IMPROVEMENT WORKSHOPS

2/18 – REDDING  
2/25 – SACRAMENTO – WEBCAST  
2/27 – IRVINE



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## PURPOSE

- PROGRAM UPDATE
- EARLY INPUT TO ROUND 3
- IMPROVE IRWM GRANT PROGRAM DELIVERY
- IDENTIFY KNOWN AND ANTICIPATED CHANGES

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## PROGRAM UPDATE

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## STATUS OF ROUND 2 AWARDS

- DIRECTOR APPROVED AWARDS FEBRUARY 4
  - AWARDED \$131 MILLION IN EXISTING APPROPRIATIONS
  - CONDITIONALLY AWARDED \$21.8 MILLION
    - FUTURE APPROPRIATIONS
    - NEED LEGISLATIVE APPROVAL
  - ALL PROPOSALS RECOMMENDED FOR SOME LEVEL OF FUNDING IN DRAFT AWARDED 100% FUNDING
  - REGIONS RECEIVING AWARDS INCREASED FROM 20 TO 21
- START ISSUING COMMITMENT LETTERS THIS WEEK

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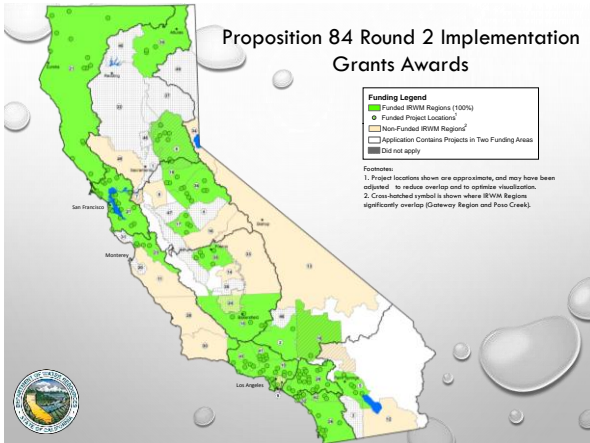
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## Proposition 84 Round 2 Implementation Grants Awards



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## DROUGHT STATE OF EMERGENCY PROCLAMATION ORDER #6

- DWR AND THE SWRCB WILL ACCELERATE FUNDING FOR:
  - WATER SUPPLY ENHANCEMENT PROJECTS THAT CAN BREAK GROUND THIS YEAR
  - WILL EXPLORE IF ANY EXISTING UNSPENT FUNDS CAN BE REPURPOSED TO ENABLE NEAR-TERM WATER CONSERVATION PROJECTS
- 17 OF 21 ROUND 2 IMPLEMENTATION GRANTS HAVE PROJECTS THAT HELP ADDRESS LONG TERM DROUGHT RESPONSE
  - EXPEDITE EXECUTION OF AGREEMENTS
  - EXECUTE IN 3-4 MONTHS VERSUS 6-12 MONTHS

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## FISCAL YEAR 2014-15 BUDGET

- PROPOSITION 84 IRWM FUNDING
  - APPROPRIATION REMAINING LOCAL ASSISTANCE FUNDS
  - \$472.5 MILLION
    - INCLUDES \$21.8 MILLION CONDITIONALLY AWARDED IN ROUND 2
    - ANTICIPATED NET AVAILABLE FOR ROUND 3 = \$450 MILLION
- CAP AND TRADE FUNDS – INCLUDES \$20 MILLION FOR GRANTS
  - WATER CONSERVATION PROJECTS THAT REDUCE GREENHOUSE GAS EMISSIONS

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## DROUGHT LEGISLATION

Bill:	Link:
AB80	<a href="http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_80_bill_20140224_amended_sen_v97.htm">http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_80_bill_20140224_amended_sen_v97.htm</a>
SB104	<a href="http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_104_bill_20140224_amended_asm_v98.htm">http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_104_bill_20140224_amended_asm_v98.htm</a>
AB79	<a href="http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_79_bill_20140224_amended_sen_v97.htm">http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_79_bill_20140224_amended_sen_v97.htm</a>
SB103	<a href="http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_103_bill_20140224_amended_asm_v98.htm">http://www.leginfo.ca.gov/pub/13-14/bill/sen/sb_0101-0150/sb_103_bill_20140224_amended_asm_v98.htm</a>

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## PLAN REVIEW PROCESS

- JANUARY 10, 2014 ISSUED ADDENDUM TO GUIDELINES
- PLAN REVIEW PROCESS COMMENCED IMMEDIATELY
- 12 PLANS SUBMITTED AS OF FEBRUARY 26, 2014
- REVIEWS ONGOING
- 1<sup>ST</sup> SET OF REVIEWS TO BE RELEASED MID-MARCH

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# PLAN REVIEW PROCESS

- REVIEWED PLAN NEEDED FOR:
  - ROUND 3 ELIGIBILITY
    - ADOPTED AND CONSISTENT WITH 2012 GUIDELINES
    - PLAN SUBMITTAL DATE – 60 DAYS BEFORE ROUND 3 APPLICATION
      - REMEMBER THIS AT SCHEDULE SLIDE
  - COMPLIANCE WITH IMPLEMENTATION GRANT AGREEMENT
    - ADOPTED WITHIN 2 YEARS OF AGREEMENT EXECUTION
- PLEASE SUBMIT PLANS AS SOON AS POSSIBLE

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# IRWM PLAN ADOPTION

- NEED TO CONSIDER ADOPTION TIME LINES
  - PLANNING GRANT DELIVERABLE DUE DATE/AGREEMENT CLOSE OUT
  - IMPLEMENTATION AGREEMENT 2-YEAR DEADLINE
  - ROUND 3 APPLICATION DUE DATES
- DWR WILL WORK WITH GRANTEEES ON THESE ISSUES
  - START WITH YOUR DWR RSR/GRANT MANAGER

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# PROCESS IMPROVEMENTS

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# GENERAL PHILOSOPHY

- LIMIT CHANGES TO GUIDELINES
  - IN PARTICULAR PLAN STANDARDS
- NEED TO INCORPORATE "NEW" REQUIREMENTS
  - ENACTED/BECAME EFFECTIVE AFTER NOVEMBER 2012
- NEED TO DO ANY NECESSARY CLEANUP

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# INPUT SOURCES FOR MODIFICATIONS

- LEGISLATIVE MANDATES
- INTERNAL ROUND 2 "POST MORTEM" WITH REVIEW TEAM
- PUBLIC COMMENTS
  - ROUND 2 DRAFT FUNDING RECOMMENDATIONS
  - DRAFT PLAN REVIEW PROCESS
  - EARLY PROCESS IMPROVEMENT FEEDBACK
- STRATEGIC PLAN WORKSHOP FEEDBACK
- INDEPENDENT REVIEW
- PROCESS IMPROVEMENT WORKSHOPS

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# EXAMPLES OF INPUT

- GENERAL
  - LEVEL OF EFFORT TO PREPARE APPLICATIONS CAN BE HIGH, ESPECIALLY FOR DISADVANTAGED COMMUNITIES
- WORK PLAN
  - LACK OF SPECIFIC INSTRUCTION ON HOW TO ADEQUATELY DEMONSTRATE THAT THE PROJECT(S) WILL BE IMPLEMENTED

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## EXAMPLES OF INPUT

- SCORING
  - MORE CLEARLY EXPLAIN HOW APPLICATIONS ARE EVALUATED
  - EVALUATING PROPOSALS AS A WHOLE CAN UNDER/OVER VALUE INDIVIDUAL PROJECTS
  - NEED TO BETTER EVALUATE HOW PROJECTS MEET IRWM REGION NEEDS
  - NEED TO CONSIDER OTHER FACTORS, SUCH AS INNOVATION

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## GUIDELINES KNOWN CHANGES

"INCLUDE BUT NOT LIMITED TO"

- HUMAN RIGHT TO WATER
- CASGEM
  - IMPLEMENT "NEGATIVE FINDING" – BASINS NOT BEING MONITORED
- AGRICULTURAL WATER MANAGEMENT PLANS
- WATER CODE SECTIONS 525-529.7 (WATER METERING)
  - ENACTED 2004-2006; COMPLIANCE DATES FROM 2005-2025
- SWRCB NITRATE REPORT RECOMMENDATIONS
  - PREFERENCES/PRIORITIES FOR NITRATE "HIGH RISK AREAS"
- DELTA PLAN RECOMMENDATIONS

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## GUIDELINES CHANGES TO ANTICIPATE

- STATEWIDE PRIORITIES – CURRENTLY BASED ON 2009 WATER PLAN
  - ALIGN TO GOVERNOR'S WATER ACTION PLAN
  - REFLECT WATER PLAN 2013
  - INCORPORATE:
    - MEETING BASIC HUMAN RIGHT TO WATER
    - NITRATES IN DRINKING WATER
  - STRENGTHEN DROUGHT MITIGATION/WATER CONSERVATION
    - DROUGHT CONTINGENCY PLANS IMPLEMENTATION

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## STATE WATER ACTION PLAN

[HTTP://RESOURCES.CA.GOV/CALIFORNIA\\_WATER\\_ACTION\\_PLAN/DOCS/FINAL\\_CALIFORNIA\\_WATER\\_ACTION\\_PLAN.PDF](http://resources.ca.gov/california_water_action_plan/docs/final_california_water_action_plan.pdf)

- MAKE CONSERVATION A CALIFORNIA WAY OF LIFE
- INCREASE REGIONAL SELF-RELIANCE & INTEGRATED WATER MANAGEMENT
- ACHIEVE THE CO-EQUAL GOALS FOR THE DELTA
- PROTECT AND RESTORE IMPORTANT ECOSYSTEMS
- MANAGE AND PREPARE FOR DRY PERIODS
- EXPAND WATER STORAGE CAPACITY & IMPROVE GROUNDWATER MANAGEMENT
- PROVIDE SAFE WATER FOR ALL COMMUNITIES
- INCREASE FLOOD PROTECTION
- INCREASE OPERATIONAL AND REGULATORY EFFICIENCY
- IDENTIFY SUSTAINABLE AND INTEGRATED FINANCING OPPORTUNITIES

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## PSP CHANGES TO ANTICIPATE

- POSSIBLE FUNDING TARGETS (THROUGH APPROPRIATION)
  - CRITICAL WATER SUPPLY/WATER QUALITY NEEDS OF DISADVANTAGED COMMUNITIES
    - PRIOR APPROPRIATIONS 10%
  - DROUGHT MITIGATION/WATER CONSERVATION
    - 2009 DROUGHT \$20 MILLION FOR 20X2020 PROJECTS
  - REDUCE DEPENDENCE ON DELTA FOR WATER SUPPLY
- IMPROVING TIES OF PROJECTS TO REGIONAL PRIORITIES

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## PSP CHANGES TO ANTICIPATE

- ADOPTED PLAN
  - NO GRANDFATHER CLAUSE
  - ADOPTED PRIOR TO SUBMITTAL, DRAFT RECOMMENDATION, AWARD?
- STREAMLINED APPLICATION & SHORTENED SOLICITATION PERIOD
  - FOCUS THE PSP TO "FORCE" SUBMITTAL OF NEEDED MATERIALS
  - AWARD FUNDS WITHIN ONE-YEAR OF APPROPRIATION
- PROGRAM PREFERENCE & STATEWIDE PRIORITIES
  - NARROW LIST TO FOCUS STATEWIDE PRIORITIES?
  - PRIORITIES WITHIN PRIORITIES (1<sup>ST</sup> AMONGST EQUALS)?

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### PSP TARGET AREAS

- MONITORING, ASSESSMENT, AND PERFORMANCE MEASURES
  - TARGET TO MATCH PHYSICAL BENEFITS CLAIMED?
- BENEFITS AND ECONOMIC ANALYSIS
  - MADE CHANGES GOING FROM ROUND 1 TO ROUND 2
  - FEEDBACK FROM ROUND 2
    - WAS BETTER
    - STILL ONEROUS
  - TIE INTO REGIONAL NEEDS/PRIORITIES
  - LEAST COSTLY – TECHNICALLY FEASIBLE ALTERNATIVE?

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### PSP TARGET AREAS

- WORK PLAN
  - FOCUS ON HOW THE PROJECTS WILL BE IMPLEMENTED?
  - LIMIT TO WHAT IS NEEDED TO GO INTO GRANT AGREEMENT?
- SCORING TABLE/PROPOSAL SUMMARIES
  - CONCERNS ABOUT CLARITY AND CONSISTENCY
  - CONCERNS ABOUT +/- IMPACTS ON SINGLE PROJECT ON ENTIRE PROPOSAL
  - NARRATIVE SUMMARIES TAKE TIME TO DEVELOP (AND ENSURE CONSISTENT, ACCURATE, FAIR, OBJECTIVE, ETC.)

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### EXAMPLE - SCORING TABLE CHANGES UNDER CONSIDERATION

- HOW TO ASSESS THE SUITE OF PROJECTS?
  - EVALUATE PROJECTS INDIVIDUALLY
  - COLLECTIVELY SCORED
    - AVERAGE SCORE FOR EACH CRITERION
    - EXAMPLE TO FOLLOW
- REMOVE WEIGHTING FACTORS?
- ELIMINATE NARRATIVE EVALUATION?
  - TABULAR ASSESSMENT OF EACH PROJECT IN PROPOSAL FOR EACH CRITERION

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SCORING TABLE EXAMPLE – WORK PLAN

Questions Answer Yes or No	Points	Project # 1	Project # 2...	Project #n
Q.1 Will completion of the proposed tasks result in a completed project?	1	Yes	No	No
Q.2 Is each task described in sufficient detail so that it is clear how the work will be performed?	1	Yes	Yes	No
Q.3 Does the project status description detail the current stage of each project?	1	Yes	Yes	No
Q.N Are the appropriate deliverables proposed?	1	Yes	Yes	No
By Project Total		4	3	0
Proposal Total		(4+3+0)/3 = 2.3		
Average Round to Whole Number		= 2 points		

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MAXIMUM GRANT AMOUNT

- PRESUMED FINAL SOLICITATION
- HOW TO FOSTER COOPERATION?
- LEVEL OF COMPETITION IN "COMPETITIVE" FUNDING AREAS?

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PROPOSITION 84 IRWM FUNDING AREA REMAINING BALANCES

FUNDING AREA	ALLOCATION	PRIOR AWARDS + STATE COSTS	REMAINING BALANCE
North Coast	\$37,000,000	\$17,252,061	\$19,747,939
San Francisco Bay	\$138,000,000	\$64,516,143	\$73,483,857
Central Coast	\$52,000,000	\$32,251,934	\$19,748,066
Los Angeles-Ventura	\$215,000,000	\$118,659,211	\$96,340,789
Santa Ana	\$114,000,000	\$39,517,004	\$74,482,996
San Diego	\$91,000,000	\$34,487,049	\$56,512,951
Sacramento River	\$73,000,000	\$32,481,590	\$40,518,410
San Joaquin River	\$57,000,000	\$30,303,544	\$26,696,456
Tulare/Kern	\$60,000,000	\$43,782,803	\$16,217,197
Lahontan	\$27,000,000	\$16,721,949	\$10,278,051
Colorado River	\$36,000,000	\$19,300,000	\$16,700,000
Total	\$900,000,000	\$449,273,288	\$450,726,712

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ROUND 3 SCHEDULE

- PROCESS IMPROVEMENT WORKSHOPS – 2/18-27
- COMMENTS "DUE" – 3/21/2014
- RELEASE DRAFT 2014 (ROUND 3) GUIDELINES & PSP – SUMMER 2014
  - AFTER APPROPRIATION – JULY 1, 2014
- FINAL GUIDELINES & PSP – FALL 2014 (SEPTEMBER 1, 2014)
  - PLAN REVIEW SUBMITTAL CUT OFF
- APPLICATIONS DUE – WINTER 2014/15 (NOVEMBER 1, 2014)
- AWARDS – BY JUNE 30, 2015 (APRIL 15, 2015)
- ACCOMPLISH BY CUTTING IN HALF:
  - DWR REVIEW PERIODS
  - DWR MANAGEMENT/EXECUTIVE REVIEW & APPROVAL

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DISCUSSION PERIOD

COMMENTS DUE MARCH 21, 2014

EMAIL TO: [KEITH.WALLACE@WATER.CA.GOV](mailto:KEITH.WALLACE@WATER.CA.GOV)

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